MAY 0 3 2002 5 0 0 5 - 0 6 - 0 0 7 3 Amp

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wand et al.

: Group Art Unit: not assigned

Serial No.:

10/068,557

: Examiner: Not Assigned

Filed:

February 5, 2002

: Confirmation No. 6953

For:

High Polarization Dopants for Ferroelectric Liquid Crystal

Compositions

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express **Mail** in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C., 20231

May 3, 2002 Date

Loretta Allemenos

EL 881525745 US Express Mail No.

TRANSMITTAL

Assistant Commissioner for Patents **BOX MISSING PARTS**Washington, DC 20231

Sir:

1

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 20, 2002 included herewith are:

- Check in the amount of \$1,638 (\$740 filing fee; \$130 declaration surcharge, \$432 for 24 claims over 20, \$336 for 4 independent claims over 3);
- Copy of Formalities Letter (Notice to File Missing Parts);
- Executed Joint Inventors' Declaration for Patent Application and Power of Attorney; and

If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Susan K. Doughty

Reg. No. 43,595

5370 Manhattan Circle, Suite 201 Boulder, CO 80303

GREENLEE, WINNER AND SULLIVAN, P.C.

Phone: (303) 499-8080 Fax: (303) 499-8089

email: winner@greenwin.com Docket No.: 96-00, lla:5/3/2002







UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.aov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/068,557

02/05/2002

Michael Wand

96-00

CONFIRMATION NO. 6953

FORMALITIES LETTER

Date Mailed: 03/20/2002

GREENLEE, WINNER AND SULLIVAN, P.C. Suite 201

5370 Manhattan Circle Boulder, CO 80303



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$768.
 - \$432 for 24 total claims over 20.
 - \$336 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1638.

Initial Patent Examination Division (703) 308-1202

8888 8888